



Thank you for choosing Great American Mint and Refinery, Inc. Following is a Dealer Application to be filled out by an Authorized Representative of your company. This will aid us processing all orders placed by your company.

Step 1: Prepare Required Documents

Required

Complete Dealer Application in its entirety. Do not leave any field blank. If it does not apply, mark as N/A.

Complete IRS W-9 Form

Complete State of California Resale Certificate.

Copy of State Resale or Sales Tax Certificate, Business License or Pawnbrokers License.

Copy of Government Issued Photo I.D. for all principals, payees and those authorized to trade on your behalf.

Optional

Wire & ACH Payment Form (if you plan on selling to us and being paid in either of these ways)

Step 2: Return the paperwork listed above to us at:

E-mail: info@greatamericanmint.com

By Mail:

Great American Mint & Refinery
1020 N Armando St.
Anaheim, Ca 92806

Please call 800-451-3599 or 714-203-6656 (local) should you have any questions while filling out the Dealer Application Form.



Part 1: USA Patriot Act Compliance

Great American Mint and Refinery, Inc. (GRAMMCO) recognizes the importance of the USA Patriot Act and supports countermeasures to prevent money laundering and terrorism financing. We appreciate your help and understanding in helping us meet our compliance program standards. Our customers and vendors themselves must also comply with the USA Patriot Act provisions and other compliance measures set forth by GRAMMCO.

Please select the description that best applies to you and your company:

- We have a written anti-money laundering policy and program in place that is compliant with the USA Patriot Act and the Bank Secrecy Act and operate in compliance with it.
- We are not a dealer in precious metals, stones and jewels as defined by the USA Patriot Act.
- We are exempt from compliance with the USA Patriot Act.

Explanation of exemption:

By signing below, I am stating the above statement is true and correct and my organization is in full compliance on are legally exempt from complying with the USA Patriot Act and Bank Secrecy Act.

Signature	Date
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Print Name

Part 2: General Business Information

Legal Name of Business	Organization type <input type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> LLC <input type="checkbox"/> Sole Proprietor <input type="checkbox"/> Other _____
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DBA (if applicable, provide documentation)	Email address
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The entities listed above are who bills will be paid to. When selling to us, please ensure name on invoices match one of these names.	Phone	Fax
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Billing Address

City	State	Zip Code
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Shipping Address (if different)

City	State	Zip Code
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Part 3: Company Information	
Type of Business (check all that apply)	
<input type="checkbox"/> Coin Dealer	<input type="checkbox"/> Antique Dealer
<input type="checkbox"/> Pawn Shop	<input type="checkbox"/> Jewelry Retailer
<input type="checkbox"/> Gold/Silver Buyer	<input type="checkbox"/> Private Investor
<input type="checkbox"/> Online Retailer	<input type="checkbox"/> Broker
<input type="checkbox"/> Other	
1. Name of Principal Owner, please attach valid government issued ID	Title
2. Name of Principal Owner (if applicable). Please attach valid government issued ID	Title
3. Name of Principal Owner (if applicable). Please attach valid government issued ID	Title
Attach another sheet if more principals	
Federal EIN/Social Security Number	Years in Business
State Sales Tax Number. Provide copy of license	State of Incorporation
List names of representatives authorized to trade and discuss accounting inquiries for your organization.	
Name of Individual completing application	Title

Part 4: Dealer Terms & Conditions

Customer in these Terms & Conditions is defined as anyone who transacts, buying or selling, with GRAMMCO under a dealer account.

- Pricing.** The pricing in this industry can be volatile. Changes in commodity prices, supply chain and consumer demand often change on a regular basis. Published prices and/or rates are to be used as indications only are not offers to buy or sell until they have been confirmed with the trading desk. Buy and sell offers made with the trading desk are valid for the duration of the phone call, unless otherwise stated, and are afterwards withdrawn if trade is not confirmed. GRAMMCO is under no obligation to honor a price on a later phone, but will reissue a new quote, which could be the same or different, based on market conditions.
- Market Loss and Forward Transactions.** Forward transactions are purchases or sales between the customer and GRAMMCO where a predetermined price is agreed upon prior to delivery and/or payment. These transactions are final and binding agreements. Forward transactions cannot be cancelled or returned. If the Customer fails to deliver payment or inventory with respect to the agreement within 5 business days (or however specified in a particular transaction) after the transaction date, the Customer is responsible for paying the difference of the current market price and the market price at the time of the transaction as reasonably determined by GRAMMCO. A transaction reversal fee of \$50.00 may be assessed per transaction to cover the administrative costs associated with offsetting the original transaction.
- Limit of Liability.** The Customer agrees GRAMMCO has a maximum liability for the loss of and/or damage to inventory which shall be no greater than the lesser of a) the Customer's declared value insured for shipping and b) the actual amount the Customer proves he paid for the inventory. GRAMMCO shall not be liable for lost profits, loss of business

- opportunity, damage to reputation, attorney's fees, interest, indirect, incidental, punitive, exemplary, special or consequential losses or damages.
4. **Taxes.** Prices are quoted without taxes. The customer is responsible to any and all taxes that apply to transactions with GRAMMCO, if applicable.
 5. **Sale or Change of Ownership.** Should any of the principal information change, it is the Customer's responsibility to update GRAMMCO within fourteen (14) days of such change.
 6. **Notice of Compliance.** Should a Customer have any claim, controversy or dispute regarding a transaction with GRAMMCO, they must notify GRAMMCO within ten (10) business days. Should the Customer fail to notify GRAMMCO within this time frame, they shall be prohibited from seeking claims against any loss or damage they may claim.
 7. **Trading.** All trades must be confirmed via phone with one of our authorized traders or via an electronic confirmation from our representative. A Purchase or Sales order number is generated to confirm and reference the trade.
 8. **Forms of Payment.** Cash, Business check, wire transfer or existing trade credit line are the only forms of payment GRAMMCO accepts. Payments must be drawn on an account owned by the entity placing the trade.
 9. **Business Day.** We define a business day as Monday - Friday, 7am - 5pm PST, excluding federal holidays.
 10. **Illicit dealings prohibited.** The Customer certifies they have they legal right to sell the inventory to GRAMMCO and has not committed a crime, practiced unethical business, is transacting an illegal trade, the inventory is not owned by anyone other than the Customer selling to GRAMMCO, there are no liens or claims on the inventory and has complied with local regulations for secondhand purchases, including hold and reporting requirements.
 11. **Mathematical, Typographical or other errors.** Should GRAMMCO commit such errors on quotation or payment, the Customer agrees to take all steps requested by GRAMMCO to rectify the error and not hold GRAMMCO liable for any damage resulting in such errors.
 12. **Packaging.** GRAMMCO assumes no liability for packages we receive in damaged condition or with inventory missing. It is the responsibility of the customer to deliver the product to GRAMMCO in its entirety.
 13. **Shipping.** It is the responsibility of the Customer to pay for shipping and deliver inventory to GRAMMCO unless specifically provided an alternative by a GRAMMCO representative. The Customer must include a packing list stating the contents of the package and must ship to the address provided. GRAMMCO will not be responsible for any damage, loss or other claims to a package that was shipped by the Customer to an incorrect address or did not include a packing list.
 14. **Return of Materials.** GRAMMCO reserves the right to return materials to a Customer that does not meet the requirements and specification of a trade without liability. GRAMMCO also reserves the right to recover such losses should it be in GRAMMCOs best interest
 15. **Death of a Customer.** In the event a customer dies or becomes incapacitated during an outstanding transaction, GRAMMCO reserves the sole right to a) require the authorized agent to complete the pending transaction, or b) terminate the transaction and reclaim any money or inventory supplied to the customer.
 16. **Credit Verification & USA Patriot Act Compliance.** GRAMMCO reserves the right to make criminal, credit and general background inquiries about its Customers and their agents.
 17. **Investment Risk.** The customer understands that all coin and bullion investments involve risk. The value or coins and bullion may be affected by many things including, but not limited to, current market pricing, perceived scarcity and current demand. investing in coins and bullion is not suitable for everyone. Investments, including coins and bullion can lose value. By entering into a transaction with GRAMMCO, the Customer warrants themselves with adequate cash reserves and disposable income to trade such investments and products and possess an experience level of competence to do so.
 18. **Disclaimer of Warranties.** No warranties, written or oral, expressed or implied, shall be binding upon GRAMMCO, unless written herein.
 19. **Provisions.** Each provision of the Terms & Conditions is independent. Should one paragraph be ruled wholly or partially invalid by a competent court, all other provisions shall remain valid and enforceable.

20. **Force Majeure.** GRAMMCO shall not be liable for any delay or nonperformance caused, in whole or in part, by the occurrence of any contingency beyond the control of GRAMMCO, including but not limited to, acts of government, terrorism, acts of God, sabotage, shortage of materials, or delay in transportation.
21. **Applicable Law.** The rights and obligations of GRAMMCO and the Customer provided in these terms and conditions shall be governed by, construed and enforced in accordance with the laws of the State of California, without regard to conflicts of principal law.
22. **Arbitration.** The Customer agrees that claims, disputes or controversy between the Customer and GRAMMCO from any of the Terms and Conditions outlined above shall be resolved in the State of California, in the County of Orange. The arbitration will be resolved in accordance with the rules and procedures of the American arbitration association for commercial arbitration and waives the right to class arbitration. Any issue rendered by such arbitration is binding for all parties. Fees for the arbitrator shall be divided equally between both parties regardless of the outcome. Each side shall be responsible for paying their separate attorney fees and waives the arbitrator's ability to shift expenses to one side, except in the event of a default by the Customer as described above. In case of default, the Customer will be responsible for GRAMMCO's attorney's fees, legal costs and fees for the arbitrator, in addition to the default amount. Should the Customer bring actions against GRAMMCO other than arbitration, the customer shall be responsible for all legal and other expenses incurred by GRAMMCO including, but not limited to attorney's fees. Should the Customer fail to abide by the ruling of the arbitrator, the Customer is responsible for all legal and other expenses to recover, enforce and defend the award made by the arbitrator.

Customer Signature _____ Date _____

Part 5: References

Member of Trade Organizations/Affiliations? Check all that apply:

- | | | |
|-------------------------------|--------------------------------------|--|
| <input type="checkbox"/> ICTA | <input type="checkbox"/> PCGS Dealer | <input type="checkbox"/> JBT |
| <input type="checkbox"/> PNG | <input type="checkbox"/> Coin Net | <input type="checkbox"/> eBay ID (if primary business) |
| <input type="checkbox"/> CCE | <input type="checkbox"/> NGC Dealer | <input type="checkbox"/> Other |

Bank Reference. Please list Bank name, Contact person & Phone number

1. Trade Reference Name & Contact Person

2. Trade Reference Name & Contact Person

1. Trade Reference Address

2. Trade Reference Address

1. Trade Reference Phone

2. Trade Reference Phone

Part 6: Affirmation of Information and Agreement of Terms and Conditions

By signing below, you are agreeing that all the information above is true and correct. You also agree to all the terms and conditions stated above and all transactions with GRAMMCO are bound by these terms and conditions. You certify you have the legal right to sign on behalf of the organization listed above.

Signature of Authorized Representative	Title
Print name:	Date

GRAMMCO OFFICE USE ONLY	
<input type="checkbox"/> W-9 <input type="checkbox"/> NYS <input type="checkbox"/> ID <input type="checkbox"/> References <input type="checkbox"/> AML <input type="checkbox"/> Form Complete	Reviewer Name
	Date

Notes:

California Resale Certificate

I HEREBY CERTIFY:

1. I hold valid seller's permit number: _____

2. I am engaged in the business of selling the following type of tangible personal property:

3. This certificate is for the purchase from _____ of the item(s) I have listed in paragraph 5 below. [Vendor's name]

4. I will resell the item(s) listed in paragraph 5, which I am purchasing under this resale certificate in the form of tangible personal property in the regular course of my business operations, and I will do so prior to making any use of the item(s) other than demonstration and display while holding the item(s) for sale in the regular course of my business. I understand that if I use the item(s) purchased under this certificate in any manner other than as just described, I will owe use tax based on each item's purchase price or as otherwise provided by law.

5. Description of property to be purchased for resale:

6. I have read and understand the following:

For Your Information: A person may be guilty of a misdemeanor under Revenue and Taxation Code section 6094.5 if the purchaser knows at the time of purchase that he or she will not resell the purchased item prior to any use (other than retention, demonstration, or display while holding it for resale) and he or she furnishes a resale certificate to avoid payment to the seller of an amount as tax. Additionally, a person misusing a resale certificate for personal gain or to evade the payment of tax is liable, for each purchase, for the tax that would have been due, plus a penalty of 10 percent of the tax or \$500, whichever is more.

NAME OF PURCHASER

SIGNATURE OF PURCHASER, PURCHASER'S EMPLOYEE OR AUTHORIZED REPRESENTATIVE



PRINTED NAME OF PERSON SIGNING

TITLE

ADDRESS OF PURCHASER

TELEPHONE NUMBER

()

DATE

Request for Taxpayer Identification Number and Certification

**Give Form to the
requester. Do not
send to the IRS.**

Print or type
See Specific Instructions on page 2.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.	
2 Business name/disregarded entity name, if different from above	
3 Check appropriate box for federal tax classification; check only one of the following seven boxes: <input type="checkbox"/> Individual/sole proprietor or single-member LLC <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ _____ Note. For a single-member LLC that is disregarded, do not check LLC; check the appropriate box in the line above for the tax classification of the single-member owner. <input type="checkbox"/> Other (see instructions) ▶ _____	4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3): Exempt payee code (if any) _____ Exemption from FATCA reporting code (if any) _____ <i>(Applies to accounts maintained outside the U.S.)</i>
5 Address (number, street, and apt. or suite no.)	Requester's name and address (optional)
6 City, state, and ZIP code	
7 List account number(s) here (optional)	

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Social security number											
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or

Employer identification number														
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Note. If the account is in more than one name, see the instructions for line 1 and the chart on page 4 for guidelines on whose number to enter.

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

Sign Here	Signature of U.S. person ▶	Date ▶
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General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.
Future developments. Information about developments affecting Form W-9 (such as legislation enacted after we release it) is at www.irs.gov/fw9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)

- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
 - Form 1099-C (canceled debt)
 - Form 1099-A (acquisition or abandonment of secured property)
- Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.
- If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding? on page 2.*
- By signing the filled-out form, you:
1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
 2. Certify that you are not subject to backup withholding, or
 3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
 4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting?* on page 2 for further information.